The invention of blue and purple pigments in ancient times

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This tutorial review examines manmade blue and purple pigments appearing in antiquity. They were obtained by chemical synthesis from mineral starting materials and refer to chemical compounds: Egyptian Blue (CaCuSi₄O₁₀), Han Blue (BaCuSi₄O₁₀) and Han Purple (BaCuSi₂O₆), Maya Blue (x·indigo·(Mg,Al)₄Si₈(O,OH,H₂O)₂₄) and Ultramarine Blue (Na,Ca)₈(AlSiO₁₂)(S, SO4,Cl). The Egyptian and Chinese copper-based pigments are assumed to have been developed independently and are presumably an outcome of the historical developments in glazing techniques. A technology transfer from Egypt into China cannot be fully excluded but, based on the facts acquired up to now, looks less probable.

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1. Blue and purple

1.1 Art, matter and blue in particular

Colours are an intrinsic part of human life. They produce aesthetic stimulation and they fascinate. They are the means of expression in art and they form part of the human culture. The blue colour, however, differs from all the other colours. At all times in history, people ascribed to it a special dimension.¹ Yves Klein, the ''blue entrepreneur'', who in 1957 started using solely blue for his paintings and sculptures, put it like this: Blue has no dimension, it exceeds everything… All colours evoke associations…, whereas blue is reminiscent of the sea and the sky, which are the most abstract parts of the tangible and visible nature. The opinion of this art expert has not been seconded unanimously; yet, its mere existence may highlight the fact that blue is considered to be different from all other colours.

The materialised forms of colour are dyes and pigments. They form the material basis of art. Despite this fundamental role as conditio sine qua non, the role of matter in art was due to a very rigoristic way of thinking only marginally accepted during the European Middle Ages, the Renaissance and still in the early 18th century.

During times of the theological hegemony and according to the viewpoint of spirit–matter dualism, both matter as the material basis and the craft of matter, which is in chemistry, alias alchemy was not ascribed much importance. ''Vulgar'' chemistry (alchemy) was due to people's disrespect of its ideational properties not sufficiently recognised as a science working in favour of art. Chemistry (alchemy) was made use of in artists' studios, but it lacked prestige, which was also shown by its de facto exclusion from the academic world. A simple example shows, however, to what extent chemistry (alchemy) still managed to have an ideational influence on art: The blue pigment lapis lazuli features, as a mineral, changing amounts of various impurities; thus, it is usually not suitable for use in painting without its undergoing (al)chemical separation processes. Hence, in medieval times, there were several procedures to purify lapis lazuli, some of which were kept secret. The use of chemistry (alchemy) allowed improved colour saturation and brilliance, which resulted in better expression in art.

Only toward the end of the 18th century, did science become liberated from the theological hegemony. Science's coalition in the battle for recognition was rewarded with a massive leap forward.² People grew more prepared to make use of scientific cognition. And, as chemistry was becoming more rational, there was a growing insight that art and chemistry complement one another with mutual advantages for both sides. As a consequence, the high-quality industrial pigments were developed, some of which had been available since the beginning of the 19th century, and could be used without restraint as of the second part of the 19th century; this was one of the largest benefits chemistry had on art. A silent revolution had taken place and new possibilities for expression advanced the impressionistic style.

In the co-evolution of art and science, both sides generally reaped more benefits from the other than they were willing to acknowledge.³ For ancient cultures, art and science were one entity; this was mainly due to their state of development, which was still defined mostly by the necessities of life and their technical possibilities. The craft of chemistry (alchemy) was, without reservation, used as the material basis for painting. This was the case in particular for the blue colour and the related purple colour, as the material basis in the form of herbal and animal constituents and minerals for these two colours were, as opposed to the elements of all other colours, not sufficiently available. This lack of resources challenged respective craftsmen at the time, being alchemists, to contrive innovative ideas in many ways.

1.2 The blue minerals in ancient and in medieval times

As mentioned previously, the availability of colouring substances played a decisive role in cultural developments and in art. In prehistoric times, only the so-called earth colours, colours provided by the surface soil, could be used as pigments. Blue is not an earth colour and was therefore not available to prehistoric humans as a pigment. Visitors of prehistoric caves, such as the caves of Altamira in Spain or Lasceaux in France, thus notice that in the paintings on the walls of the caves, there is no blue colour.⁴ In antiquity, the palette of available pigments could be expanded with the help of mining. The trade of mining required specialised mineralogical expertise and technical developments as civilizing achievements, which had been largely unchanged up to the Middle Ages.

The gemstone lapis lazuli was the source of the blue minerals obtained through mining in ancient and medieval times (lapis lazuli is in mineralogy called lazurite and contains variable amounts of calcium $(Na,Ca)_{8}(AISiO_{12})(S,SO_4,CI)$). In ancient times, lapis lazuli was valued for its stability and for its brilliance apparent in very pure lapis lazuli. The almost ubiquitous, but unstable azurite is a mineral containing copper $(Cu_3(CO_3)_{2}(OH)_{2})$. Depending on its environment, it will eventually transform into malachite, a green pigment, and is unsuitable for outdoor use. Lapis lazuli was in ancient times mined in only one location, situated in the area of present-day Afghanistan (Badakhshan). It should be mentioned that in ancient China, the use of natural lapis lazuli was not as common as in other cultures (Persia, Mesopotamia, Egypt). The factual reasons are not known; however, it may be due to the fact that the Chinese disposed of artificially manufactured blue and azurite, the latter in almost unlimited amounts. Furthermore, minerals containing cobalt that only after a manufacturing process in glasses and glazes transformed into a blue colour were used.

Probably in the early 10th century AD, the blue mineral vivianite $(Fe_3(PO_4)_2.8H_2O)$ became a speciality of the European medieval times, mined in areas north of the Alps, and was used as a pigment.⁵ Vivianite is an unstable iron phosphate mineral with variable iron(II/III) content that is completely colourless if kept in an oxygen-free environment (solely contains iron(II)) and that, if exposed to oxygen, will over time oxidise into blue and eventually brown compounds with a higher iron(III) content. Nowadays, this mineral would not be used as a pigment due to its too low stability. The use in the European Middle Ages can be explained only with the lack of suitable alternatives. Blue vivianite may be found mainly in the vicinity of ore deposits near the Earth's surface and in pegmatite deposit areas if waters containing phosphates reach that area. The mineral oxidation product of vivianite is the brown santabarbaraite pseudomorphus, in which half of the iron atoms are iron(II) and the other half iron(III).

1.3 Necessity has been the mother of invention—especially in ancient times

The notable German art historian J. J. Winckelmann described antique art with the phrase Noble simplicity and quiet grandeur (Edle Einfalt und stille Grösse). He acted on the erroneous assumption that polychromy of art objects was not of real importance for the antique human being. The contrary was the case:⁶ colour and painted objects were valued greatly in ancient times and their frequent use aggravated the lack of, mainly the rare, blue colour materials. Only by the end of the 18th century was there a fundamental change in the special situation of the scarcity of blue pigments; new blue pigments were invented and later, at the outset of industrialisation, many more new blue and purple materials were created chemically. Also, known natural materials could be produced artificially on a large scale in sufficient amounts. Necessity is the mother of invention!

According to the current state of knowledge, there were three geographic areas in ancient times in which special blue and purple pigments were contrived and produced (see also atlas for the distribution of Egyptian Blue and Han Blue and Purple (Fig. 21) and Fig. 1):

• The Mediterranean area, incl. Egypt, as of approx. 3600 BC, the Middle East (Mesopotamia, Persia), and later also the areas of ancient Greece and the Roman Empire, where Egyptian Blue (Fig. 1) was produced⁷ and cobalt was used to colour glasses and glazes and was also occasionally used as a pigment in a glass-bound form (smalt).

• The area of ancient China in which, according to the current state of knowledge, the synthetic pigments Chinese Blue and Chinese Purple (Fig. 1), also called Han Blue and Han Purple, were produced; the area extends on a relatively limited territory about 200–300 km north of the ancient city of Xian. Today it is thought that, in this area in northern China,

Fig. 1 Egyptian Blue (CaCuSi₄O₁₀, left), Han Blue (BaCuSi₄O₁₀, centre) and Han Purple ($BaCuSi₂O₆$, right). Under similar conditions, the substances Egyptian Blue and Han Blue strongly resemble one another. The smaller the grain size, the lighter is its appearance. Egyptian Blue is ground whereas Han Blue is granular crystalline.

Fig. 2 A piece of industrially produced Ultramarine Blue (left) and Maya Blue produced from sepiolite (right). The sepiolite stems from commercially available cat litter.

Ultramarine Blue (''artificial lapis lazuli'') might also have been produced (~ 800 BC) (Fig. 2).

• The area of Middle America with the Indian cultures, which, as of approx. 400 AD, produced Maya Blue (Fig. 2), an intercalation compound of indigo into the white clays of palygorskite.

It must be noted that, according to current knowledge, no artificially produced blue pigments were used in ancient India. Mineral pigments like azurite and lapis lazuli were used instead. From a chemical point of view, Egyptian Blue and Han Blue and Purple are very closely related. Being copper silicates with the alkaline earth elements calcium and barium, they are defined chemical compounds of the compositions $CaCuSi₄O₁₀$ (Egyptian Blue), Ba $CuSi₄O₁₀$ (Han Blue) und $BaCuSi₂O₆$ (Han Purple). Their chemical relationship becomes apparent on the basis of the periodic table of the elements, according to which they differ only in the very similar alkaline earth elements. $8-11$ Ultramarine Blue, which was probably also produced synthetically by the Chinese, possesses variable compositions and might typically correspond to the

formulation $Na_{6.9}(Al_{5.6}Si_{6.4}O_{24})S_{2.0}^{12}$ Likewise, Maya Blue can also not be assigned a defined ratio composition between the two constituents indigo $(C_{16}H_{10}N_2O_2)$ and the white clay mineral (palygorskite $((Mg, Al)_4Si_8(O, OH, H_2O)_{24})$ or more rarely sepiolite). $13,14$ Smalt, which occurs in the form of glasses and glazes, is not a consistently structured matter and, with the formulation $Co(SiO₂)_n$, is not a defined chemical compound.

2. The chemistry of synthetic blue and purple pigments

2.1 The syntheses of Egyptian Blue, Han Blue and Purple, Ultramarine Blue, Maya Blue and Smalt

Egyptian Blue is a defined chemical compound with the formulation $CaCuSi₄O₁₀$. It is the oldest of all the abovementioned blue and purple pigments¹¹ and it can be obtained relatively easily if the minerals lime $(CaCO₃)$, sand $(SiO₂)$ and a copper mineral (e.g. malachite $(Cu_2(CO_3)(OH_2)$) or azurite $(Cu_3(CO_3)_2(OH)_2)$ or metallic copper are exposed to oxygen $(O₂)$ and, together with a few percent of a flux such as potassium carbonate (K_2CO_3) , natrium (NaCl) or natrium sulfate ($Na₂SO₄$), are heated to temperatures between 800 and $900 °C$:

$$
\begin{array}{l} \rm Cu_2(CO_3)(OH)_2 + 8~SiO_2 + 2~CaCO_3 \xrightarrow[1.0cm] \rm Malachite \\ \rm Malachite \xrightarrow[1.0cm] 2~CaCuSi_4O_{10} + 3~CO_2 + H_2O \\ \rm Egyptian~Blue \end{array}
$$

In ancient Egypt, quite often trona, a mixture of natrium sulfate, soda (Na_2CO_3) and sodium chloride, was used for the above-mentioned flux function in syntheses. The presence of oxygen (O_2) from air prevents the formation of red cuprite $(Cu₂O)$. It probably took generations for humans living at that time to find the right conditions for the creation of highquality products, including synthesis optimisation by the addition of fluxes. This long process required good technical abilities and expertise, e.g. for controlling the temperature of the furnace and the addition of oxygen, in those times done with blow tubes and later with bellows, which needed to be passed on to future generations in accurate ways.

The chemical conditions for the preparation of Egyptian Blue also needed to be passed on very accurately. Proof that this was done is found in the extraordinary constancy of the chemical composition of the Egyptian Blue elements in art

objects dating back more than 2500 years, which we examined according to Table 1.

The production of magnificent compact blue bodies of Egyptian Blue (cylinder seals and amulets etc.) required specialised chemical expertise and technical abilities. The production of such items was achieved through various processes. The faience technique was used to produce art objects that featured a primarily blue glazing, which partly contained Egyptian Blue (see section 3. Examined Objects).

As mentioned above, Han Blue and Purple are compounds based on copper silicates, as well. The production of Han Blue $(BaCuSi₄O₁₀)$ and Han Purple $(BaCuSi₂O₆)$ is generally more difficult than the production of Egyptian Blue. Today, it can be reconstructed easily that, in a first step, a barium mineral (generally barite (BaSO₄) or witherite (BaCO₃)) was exposed for several hours to quartz $(SiO₂)$, a copper mineral and an essential lead salt supplement at a temperature of $900-1000$ °C. Fig. 3 shows the thermogravimetric development of a modern synthesis on a micro scale, starting from a mixture of $BaCO₃$, CuO and SiO2. In the case of a temperature rise, at approx. 650 °C there is a mass loss due to the release of $CO₂$ from BaCO₃; at 800 °C, the chemical reaction starts, as seen in the bottom DTA curve of the diagram.

For the production of larger amounts of Han Blue, these conditions are not applicable; as mentioned previously and as shown in the following typical equation, the temperature will be higher by approx. 100° C.

$$
\begin{matrix} Cu_2(CO_3)(OH)_2 + 8\ SiO_2 + 2\ BaCO_3 \xrightarrow[900-1000^\circ C]}\n\text{Malachite} & \text{Sand} & \text{Witherite} \\
2\ BaCuSi_4O_{10} + 3\ CO_2 + H_2O & \text{Chinese Blue} & \text{Othe 3D} \\
\end{matrix}
$$

The limited availability and the high stability of the rare barium minerals had a restrictive effect on these syntheses. Thus, it was necessary to reach relatively high temperatures for the synthesis. Reaching the required temperatures was probably facilitated by technical developments such as the invention of the twin bellows, which were also used for other processes, e.g. in ironworks. Furthermore, the decomposition temperature of barite (BaSO4) is very high, which is why it reacts extraordinarily slow in chemical syntheses. The use of barite leads to inferior pigment products if no special additives are applied. Where barite was used as a starting substance, examination of original samples reveals residual sulfur content. The syntheses were considerably more successful when lead salts (lead carbonates, lead oxides) were added, which proved to be an ingenious chemical trick. All samples of Han Blue and Purple that have so far been examined contain lead. Table 2 gives an overview of the compositions of different objects analysed by EDX. Some samples even revealed very high lead contents.

Lead salt additives serve a chemical double function: on the one hand they assist the catalytic decomposition of barium minerals at lower temperatures and on the other they serve as fluxes in a similar way to the additives in the preparatives of Egyptian Blue.

The progress of a separate decomposition experiment of barium sulfate in the presence of lead oxide was determined with the help of a thermogravimetric experiment and is depicted in Fig. 3. While $BaSO₄$ does not undergo any changes when exposed to higher temperatures. $BaSO₄$, when exposed to approx. 1000 °C in the presence of $SiO₂$, eventually starts evolving gaseous products that indicate the decomposition of BaSO4. If lead oxide is added to this mixture, the reaction will undergo a considerable catalytic acceleration.

As mentioned previously, in the barium-based material system there are several blue or possibly purple chemical compounds, contrary to the calcium copper silicate system. Only Han Blue (BaCuSi₄O₁₀), Han Purple (BaCuSi₂O₆) and another, so far unnamed, but defined, blue compound with the formula $BaCu₂Si₂O₇$ could principally be used as pigments owing to their facile synthetic availability. In all syntheses, Han Purple can be produced fastest. $BaCu₂Si₂O₇$ is found yet rarely and only in traces. $BaCuSi₂O₆$ is also generated if there is a surplus of quartz aimed at the preparation of Han Blue; Han Blue can, under these circumstances, be obtained only after a longer reaction time.

Recent examinations of pure Han Purple (degree of purity . 99.5%) have shown that Han Purple is not only very difficult to obtain in a pure state, especially using the methods of ancient times, but that it is also, surprisingly, not purple in its pure state, but dark blue. The purple shade of Han Purple comes from the red impurity of copper(I) oxide, $Cu₂O$, (mineral name cuprite), that is slowly generated by the decomposition of Han Purple, which probably happens as outlined in the following chemical equation.

$$
3BaCuSi2O6 \xrightarrow{rapid > 1050°C} ACl_2O + 2BaSiO3 + Cu2O + \frac{1}{2}O2
$$

Table 2 Average composition of ancient objects containing Han Blue and Purple dating from approx. 800–200 BC [weight% oxide according to EDX]. Smaller amounts of other components add up to 100%

Sample		Ba	Cп		Pb	
Octagonal stick, ^b Freer Gallery, Washington	2.5	35.3	5.6	37.7	11.4	2.0
Octagonal stick, K 4069, Museum of Far Eastern Antiquities, Stockholm	3.1	31.6	13.2	15.8	35.4	\equiv ^{<i>a</i>}
Octagonal stick, K 4070, Museum of Far Eastern Antiquities, Stockholm	1.0	36.5	15.6	25.9	20.7	$\overline{}$
Bead 1 (Fig. 16)	1.8	6.2	2.1	25.9	61.2	1.8
Octagonal stick (Fig. 16)	1.5	32.7	6.3	19.5	33.2	2.0
α Not analysed due to a too high amount of lead. β See ref. 10.						

Fig. 3 Thermogravimetrics of the transformation of BaCO₃, $SiO₂$ with CuO (top). At temperatures of approx. 650 \degree C, BaCO₃ is decomposed and at 800 $^{\circ}$ C, the chemical reaction for the formation of Han Blue or Purple starts. Thermogravimetrics of the decomposition of BaSO₄ in the presence of lead oxide (PbO) and quartz (SiO₂) with a reference curve for only BaSO4. The second curve shows the decomposition of the $BaSO₄-SiO₂$ mixture. The third curve shows the accelerated PbO-catalysed decomposition of $BaSO₄$ according to the above-mentioned equation (bottom).

At temperatures of more than 1050 $^{\circ}$ C, this decomposition takes place at a quite fast rate. The production of copper(I) oxide depends on the conditions of preparation but, based on the traced ancient synthetic procedures, this problem could not be avoided in $BaCuSi₂O₆$ production in ancient China. In Fig. 4, the purple or rather reddish blue shades of Han Purple have been simulated by the admixture of copper(I) oxide to pure blue $BaCuSi₂O₆$.

Also, ancient objects treated with Han Purple contain variable amounts of copper(I) oxide and therefore display variable purplish shades. E. FitzHugh, the pioneer of the rediscovery of the Chinese pigments, also highlighted this fact, which she ascribed to the various decomposition states of the samples.¹⁵ As will be discussed further on, Han Purple is very unstable from a chemical point of view; for that reason, it often shows signs of weathering on excavated historic artefacts. While the copper(I) oxide in Han Purple stayed

Fig. 4 Pure $BaCuSi₂O₆$ (Chinese "Purple") (a), to which cuprite $(Cu₂O)$ (g) was gradually added. The samples (b–f) contain increasing amounts of cuprite. According to the increasing amount of cuprite, the shade becomes more reddish. ''Normal'' Han Purple, which is produced in a synthesis at approx. $1000 °C$, comparable to the syntheses conducted in ancient times, is, in terms of the colour shade, similar to sample (c).

stable and a decomposition of Han Purple progressed, the purplish colour of the artefacts increased.

Artificial lapis lazuli: Ultramarine Blue. Lapis lazuli (the mineral lazurite $(Na, Ca)_{8}(AISiO_{12})(S, SO_4, Cl)$ was in ancient times the only stable and durable mineral blue. Azurite, which is unstable, was often used as a chemical substitute. Based on findings of our group, it is very likely that Ultramarine Blue (typical formulation $Na_{6.9}[Al_{5.6}Si_{6.4}O₂₄]S_{2.0}$), which is chemically very closely related to the mineral lazurite, was artificially produced in ancient China.

Nowadays, Ultramarine Blue can be very easily obtained in the presence of sodium salts (sodium carbonate), sulfur compounds and in alkaline conditions.¹² If those conditions are not prevalent, the elements building the alumosilicate frameworks must be available in the form of suitable raw materials such as aluminium and silicon, which may stem from earth minerals. In the case of the examined objects, the blue colouring of sulfur radical ions was probably produced by the reduction of the existing sulfate with spectroscopically detected carbon particles, which were contained in the plant ashes (basically potash K_2CO_3 and soda Na_2CO_3). Ultramarine Blue is generated at relatively low temperatures (400–600 $^{\circ}$ C); this has been done since the early 19th century, when the industrial production process first came into use.¹⁶

Maya Blue. Maya Blue was developed by the Indian cultures of Central America on a very different chemical basis than the aforementioned pigments. $13,14$ It is derived from the colour indigo, which was already known in ancient times. By means of a ''high-tech'' process, the Indians embedded indigo in white clays (palygorskite $((Mg,Al)₄Si₈(O,OH,H₂O)₂₄)$ or sepiolite), for which temperatures between 150 and 200 \degree C were necessary.

Smalt. As mentioned previously, smalt is a substance with a variable chemical composition, a solution of cobalt in glass with the formulation $Co(SiO₂)_n$. Smalt, which was in ancient times used only moderately, was used much more frequently as a pigment by the end of medieval times.17 It was produced in a similar way to glass and glazes and thus relatively low temperatures were needed (approx. $600\degree\text{C}$). In the presence of aluminium ions, a spinel compound with the defined composition $CoAl₂O₄$ may be generated (known as Thenards Blue as of 1802). It is yet unclear if this chemical compound was also used as a pigment in ancient times.

2.2 Chemical structures and properties of synthetic blue and purple pigments

The microscopic structure of ancient pigments provides information on their chemical and physical features as well as the process by which they were produced.

Copper silicate pigments. The two chemically very closely related compositions $CaCuSi₄O₁₀$ (Egyptian Blue) and $BaCuSi₄O₁₀$ (Han Blue) differ only in the way they exchange the earth alkali element. $8-11$ This is a minor variation from a chemical point of view. The two compounds have the same basic structure and very similar properties. They both have layered structures with $(SiO)₄$ silicate squares forming the structural framework. Four of those $(SiO)₄$ four-ring units form new $(SiO)_{8}$ four-ring units through condensation and new connections. With this infinite connection, a grid of fourring units and eight-ring units is generated that displays a puckering in the eight-ring units so that two opposite four-ring units make up the ''lower'' or ''upper'' sides of the eight-ring units. Opposite four-ring units become so close that their terminal $Si-O^-$ groups take up a copper ion in a square planar arrangement (Fig. 5).

These copper ions are the colouring agent (chromophore). They are very tightly bound in the stable silicate matrix and

Fig. 5 Schematic depiction of an isolated layer of MCuSi₄O₁₀ (M = Ca, Ba) with the Cu^{2+} ions (blue) in a square planar complex. The coordination of the M^{2+} ions (yellow) is through the bond, with adjoining layers complemented to an eightfold coordination (O red, Si dark green).

cannot be removed easily by chemical and physical means. This tight binding is the key to the high stability of Egyptian and Han Blue. In contrast to copper, the calcium and barium ions act as relatively independent counterions, positioned between the layers, and therefore do not have only small effects on the colour properties. Heat, strong acids and light cannot harm these two pigments. The chromophore copper is not a very efficient colouring agent, however; consequently, it needs quite a fair amount of material to reach a certain intensity in colour. The layered structure leads to the formation of platelet crystals that feature anisotropic interaction with light. The crystals show dichroism, which can be seen in the substance's lighter appearance when grinding is applied. Different shades of darker or lighter blue can thus be produced (Fig. 1).

Han Purple features a layered structure, as well, but its framework differs greatly from the structure of Han Blue. Its basic units are isolated $(SiO)₄$ four-ring units, whose terminal oxygen atoms bind two connected copper atoms. $8-11$ This results in the formation of an infinite arrangement of $Cu₂$ units (Fig. 6).

From a chemical point of view, this copper–copper bond is a very uncommon feature. Metal–metal bonds are in general chemical curiosities if they appear in materials other than metals. The crystals of Han Purple are also dichroitic. As a consequence, the substance's appearance gets lighter when ground and shows otherwise, as a barium–copper-silicate compound, great similarity in various physical properties to Han Blue. Thus, the two substances can be mixed easily, leading to blue/purple colour shades.

Owing to its Cu–Cu bond structure, Han Purple has a low chemical stability. Even weak acids wear and bleach it; hence, a light-blue mixture of Ba/Cu oxalate is formed under the influence of oxalic acid that may occur under natural circumstances, for instance by excretion of certain micro-organisms 11

Fig. 6 Schematic depiction of the layered structure of Han Purple, $BaCuSi₂O₆$. The Cu₂ units (blue) are perpendicular to the plane of the layer. They are held together by the four bridging $SiO₂$ units of the silicate four-ring units (Ba yellow, Si dark green).

Fig. 7 Schematic depiction of the silicate condensation process during the synthesis of Egyptian Blue, Han Blue and Purple.

and lead to destruction of pigment layers of paintings containing Han Purple.

Regarding the formation of these compounds in real preparations, a model of progressive silicate condensation has been developed. Starting from the basic structural element SiO_4^{4-} (orthosilicate), the $Si_2O_7^{6-}$ ion (disilicate) is generated at first. It is represented in the stable $BaCu₂Si₂O₇$ phase. In the next step, the above-mentioned four-ring unit $Si₄O₁₂⁸⁻$ (cyclotetra-silicate) is generated by advancing condensation; this forms the basic silicate unit of Han Purple. The $Si₄O₁₂⁸⁻$ may in turn condense, further building the infinitely connected layers of puckered Si_8O_{20} eight-membered ring units and planar four-membered rings of Egyptian and Han Blue. The reaction scheme of Fig. 7 stresses the fact that, along the pathway of silicate condensations to eventually yield Han Blue, Han Purple is generated as an intermediate product. It is therefore expected to be seen as a first product formed after short reaction times. An "Egyptian Purple" (CaCuSi₂O₆) is not yet known as a chemical compound.

Maya Blue. The chemical structure of Maya Blue was only recently determined.13,14 In tube-like channels of palygorskite or sepiolite clays, indigo molecules are intercalated. The guest molecule indigo is the chromophore. It has long been argued whether the indigo molecules are located in the core of the clay or whether they are adsorbed tightly at the clay's surface. Thorough investigations have shown that the indigo molecules are clearly incorporated in the clay. The channels which take these molecules up (Fig. 8) provide much more efficient chemical protection than residing on the surface. In contrast to "free" indigo, intercalated indigo thus does not fade, even under harsh conditions. For instance, the photochemical properties of the guest indigo had improved to such an extent that Maya Blue became a valuable pigment suitable for outdoor use. Some of those stable indigo clays are even more brilliant in appearance than natural indigo, which is considered to be due to the presence of iron nanoparticles formed during processing from the indigo plant raw materials.

Ultramarine blue. The chromophore of Ultramarine Blue and lapis lazuli is the blue S_3 ⁻ radical ion incorporated in sodalite cage structures $([Al_6Si_6O_{24}]^{6-})^{12}$ (Fig. 9). As is the case with Maya Blue, this naturally very unstable ion becomes very stable in its incorporated form by chemical protection in a solid matrix. Due to its chemical similarity, Ultramarine Blue

Fig. 8 Schematic depiction of the structure of Maya Blue. In the tubular channels of the structural framework of the fibrous clay compound palygorskite $((Mg, A)_{4}Si_8(O, OH, H_2O)_{24})$, indigo molecules are statistically arranged. The water molecules have been removed from the depiction (Mg, Al grey, Si dark green, O red, N light blue, carbon colourless).

is also denominated ''artificial lapis lazuli''. The surrounding matrix does not significantly influence the essential colour properties of the S_3 ⁻ ion. Ultramarine Blue and lapis lazuli feature a significantly higher degree of light absorption than copper silicate pigments. The blue tone is very intense, even if prevalent only in small amounts in pigment mixtures. However, it must be highlighted that the yellow S_2 ⁻ radical is also unavoidably incorporated during any kind of preparation, as is the case with natural lapis lazuli. A higher ratio of S2 ² radicals ''dilutes'' and lessens the blue pigment properties or may, in still higher concentrations, lead to a greenish tone due to the mixture of blue and yellow or even to a green pigment that previously has not been used. The rare natural green tone of mineral lapis lazuli leads to a considerable enhancement in value.

Smalt. In ancient times, smalt, ground cobalt glass $(Co(SiO₂)_n)$, was barely used as a pigment in painting; but as of the end of the medieval times, its use increased. Its structure is that of a typical glass matrix in which tetrahedral holes are

Fig. 9 Schematic depiction of part of the structure of Ultramarine Blue. In the sodalite cages ($[Na_8A1_6Si_6O_{24}]^{2+}$)—here depicted with sodium ions within the walls of the cage—Cl⁻ ions are enclosed within the sodalite. In the case of Ultramarine Blue, these are replaced by S_3 ⁻ radical ions (Na grey, Si dark green, Al blue, O red, S yellow).

partly filled with Co^{2+} ions and which is, in some of those places, unstable in its coordination form. Forming thus practically colourless ''octahedral cobalt'', the smalt pigment tends to be susceptible to bleaching.¹⁷ ''Tetrahedral cobalt'' appears to be more stable in alkaline glazes. The blue glazes of the tiles of the Ishtar gate in Babylon, for instance, lasted well (however, besides Co^{2+} they also contain small amounts of Cu^{2+} ions as chromophores).¹⁸ If prevalent in glazes, the blue $Co²⁺$ colour is usually not denoted as smalt even though the chemical relationship cannot be denied.

3. Examined objects

In the following, a number of examined original objects, that are exemplary for the respective synthetic pigments, will be presented.

Objects containing Egyptian Blue. As mentioned previously, Egyptian Blue was used widely in the Mediterranean area and in the Middle East from pre-dynastic Egyptian times on till the end of the Roman Empire; its widespread use was probably not only due to trade, but also due to ''technology transfer'', the dissemination of the knowledge of its production. Hence, also in Mesopotamia, compact blue bodies such as building blocks, parts of ornaments or amulets were found that had been produced in complicated processes. They were probably produced on location as of 1500 BC, which implies that there was a previous dissemination of the knowledge of its production.

As a pigment, Egyptian Blue is contained above all in historical paintings, in compact blue art objects and blue Egyptian faience. Amongst the many Egyptian pigment samples examined by our group and partly listed in Table 1, some stemmed from the paint on mummy coffins.⁹ In Old Egypt, mummy coffins were decorated in exactly the same technical way over thousands of years.¹⁹ On the wood of which the coffin is made, there is a layer of Nile mud, which was possibly applied to serve as a binder and filler. Over the layer of Nile mud, the (blue) pigment layer consisting of recarbonated lime was applied, in which the blue platelet-like crystals of Egyptian Blue were incorporated.

A sample of the crown of the famous Bust of Queen Nefertete (Ägyptisches Museum der Staatlichen Museen, Berlin) was examined (Fig. 10). It is dated back to 1340 BC (New Kingdom). The analysis reveals very pure Egyptian Blue with only a few impurities stemming from the sand, lime and flux used for the synthesis.

The late Egyptian period is documented by the Amulet of the dwarf god Bes (712–332 BC), which was supposed to protect those who wore it (Fig. 11). Judging from how it was produced, it is part of the class of blue compact bodies. The production was probably conducted in two stages. In the first stage, Egyptian Blue was synthesised and in the second stage it was, after being moulded in a dry-press procedure, compacted in another firing with the help of a binder (e.g. gelatine or wax). One stage production would have had the disadvantage of the flux's tendency to migrate to the surface of the formed compact body and thus stop the chemical reaction in the core. In that case, the body would not be Egyptian Blue throughout.

Fig. 10 Picture of the Bust of Nefertete (Egyptian Museum of the State Museum, Berlin).

The sintering process in the two-stage production process was notably improved by the addition of a small amount of glass powder, which led to the creation of more solid objects.²⁰ It can, with a high probability, be excluded that, in Old Egypt, gypsum was used for the ''cold'' moulding of blue objects.

Another widely used technique of ancient Egypt based on Egyptian Blue is the faience technique, which is one of the oldest techniques, especially for the blue colourisation of art

Fig. 11 Amulet of the Egyptian dwarf god Bes (712–323 BC). Produced as a compact Egyptian Blue body in a two-stage process (see text).

Fig. 12 Production of blue faience according to the process of wet classification.²¹

objects. With the faience technique, moulded bodies are glazed in a procedure that has been reconstructed very accurately in several modern experiments.^{21,22} An impressive modern demonstration of one of the blue faience techniques is shown in Fig. 12. The dried object formed from dry quartz is immersed in the aqueous sludge of burnt cementation powder, which consists of sodium carbonate, calcite, quartz and small amounts of copper oxide and sodium chloride. After the sludge layer has dried, it is burnt for 5 hours at approx. 950 \degree C. After cooling, a porous outer layer can be crumbled. The quartz body is covered in blue glazing on all sides (Fig. 12).

On a microscopic level, the blue faience glazing usually consists not only of coloured glass, but also of blue, spicular, incorporated crystals; the latter do not have exactly the same composition as Egyptian Blue, as was shown in exemplary experiments on the incorporation of Egyptian Blue.²³ A microscopic view of needles of ''Egyptian Blue'' embedded in glass is shown in Fig. 13.

The glass paste also known as glass frits, which was often used on mummy coffins as a coloured coating incorporated in different binders, is from a chemical point of view very similar to the faience glazings.

In the following, further objects containing Egyptian Blue found in the area of Mesopotamia shall be discussed. The blue building block from Nimrud (1300–700 BC) in present-day Iraq (British Museum, London), (Fig. 14) which in addition to Egyptian Blue also contains large amounts of quartz, is blue

Fig. 13 Microscopic view of needles of ''Egyptian Blue'' embedded in glass.

Fig. 14 Brick Nimrud. A Mesopotamian building block made from Egyptian Blue and quartz, presumably along the lines of the ''compact body procedure" (see text). [©] Copyright the Trustees of The British Museum.

throughout and was probably produced just like compact bodies of Egyptian Blue in a two-stage production process, in which, during the second firing, quartz was added. The added quartz content is probably responsible for the higher solidity necessary for the body to be suitable for use as construction material. Further Egyptian Blue samples were investigated from compact blue beads and from cylindrical seals from Nuzi in present-day Iraq.

With most Egyptian Blue objects from Mesopotamia it is difficult to prove whether they had been imported from Egypt or whether they were produced on location. The import hypothesis is supported by some objects that are not typical for Mesopotamia, such as amulets depicting the Egyptian god Bes that were found frequently, even though Bes had no divine significance in Mesopotamia. Domestic production of such artefacts implies technology transfer, which may have taken place as of 1500 BC.

Many Egyptian Blue artefacts from the Roman period were found in Europe, north of the Alps, $\frac{7}{1}$ including the areas south of Hadrian's Wall. They were indeed of Roman origin and naturally any such finds were from times earlier than the fall of the Roman Empire. Nevertheless, there are, up to now, two exceptions, where wall paintings were dated to the 9th century AD. One comes from Switzerland, which caught our special interest. The Monastery of Müstair has, in its main church hall, frescoes dated to 860 AD, which indeed contain Egyptian Blue²⁴ (vide infra). It is unknown where this material came from, whether it was a left-over from Roman times or was produced on the spot (Fig. 15). The latter would have required a way to hand down the description of the preparation.

Objects containing Han Blue and Purple and Ultramarine Blue. The Han Blue or Purple pigments so far identified in compact bodies or in paint layers stemmed from the time of the late Western Zhou period (1207–771 BC), the Eastern Zhou period (770–221 BC) and the Qin and Han periods (220– 207 BC and 206 BC–220 AD). The early objects examined by us were glazed decoration objects such as beads and earrings that contained Han Blue and Purple and others, even Ultramarine Blue (Fig. 16).

Fig. 15 Picture of the Monastery of Müstair, Switzerland (certified World Cultural Heritage of UNESCO) (top) and part of the lowest layer fresco of the southern wall of the main church of the Monastery (bottom). Copyright by Oskar Emmenegger, Stöcklistrasse, 7205 Zizers, Switzerland.

In one bead (approx. 800 BC), all three pigments were identified. It is, so far, the earliest occurrence of a copper silicate pigment.^{25,26} The synthetic source of Ultramarine Blue could be presumed but not proven. In the case of Han Purple, there was plenty of proof of its occurrence in the period of the Warring States.¹⁰ Judging from the artefacts that have been examined so far, a trend can be established, according to which Han Blue was preferred in the early times, whereas later, probably due to a different fashion, more artefacts were made from the pigment Han Purple. From the Eastern Zhou, the Qin and the Han periods, many octagonal sticks were found that were compact bodies made throughout from the same material (Fig. 16). They contain both Han Blue and Han Purple. The Qin and Han periods were the first to produce paints in which Han Blue and Purple could be verified. One example is the Terracotta Army found in the tomb of the first Chinese Emperor Qin Shihuan; amongst other colours, it had also been painted with Han Purple¹¹ (Fig. 17); so far, no Han Blue has been found in the pigment layers of the Terracotta Warriors. The blue colour on the Terracotta Army was,

Fig. 16 Bead 1 (top left corner), with a faience layer, contains Han Purple and Ultramarine Blue and has a white core. It dates from 777– 766 BC.35 Bead 2 (top right corner), with a faience pigment layer, contains Han Blue and Ultramarine Blue and has a coloured core. It dates from the 8th–6th century BC. Origin: the archaeological excavation site Li County (Northwestern China). Bead 3 (bottom left corner) is composed of a heterogeneous, compact blue body (Han Blue) which is part of the class of the sinter minerals that are rich in lead and barium. Excavated and dated: spring and autumn period $(770-476 \text{ BC})$.³⁶ The octagonal stick (bottom right corner), dates from $5th-3rd$ century $BC^{37,38}$ and is composed of equally coloured sinter material rich in lead and barium, partly crystallised and partly glassy with a decomposed, partly whitish surface.²

according to the current state of knowledge, rendered mainly through the use of azurite. While traces of Han Blue have been found to accompany polychromic pigment layers of the Han period, $2⁷$ no accurately dated objects have yet been identified containing Han Blue alone as the blue component. The use of the synthetic barium copper silicate pigments apparently ended with the end of the Han period. A mural painting in the tomb of Bin Wang, in the area of Xian, that had been painted with Han Purple during the Eastern Han period is one of the last pieces of evidence.²⁸

4. Archaeometry of the pigments of objects with Egyptian Blue, Han Blue and Purple and Ultramarine Blue

In the last two decades, micro-Raman spectroscopy, scanning electron microscopy (SEM and EDX) and X-ray fluorescence spectroscopy have proved to be the best tools for analysing blue pigments in mixtures. EDX delivers micro-element analytical data as part of SEM and X-ray fluorescence, whereas Raman spectroscopy and X-ray powder diffractometry help to conduct a phase analysis. SEM additionally provides information on the surface structure and the heterogeneity of the samples. The sensitivities of all these methods have increased significantly in the last few years. Therefore, it can be assumed that there is a quasi destructionfree situation in archaeometric examinations due to the small sample quantities. All of the above-mentioned analytical methods can be used in a non-destructive way; however, the

Fig. 17 Fragments of the trousers of a Warrior of the Terracotta Army, Xian, China, painted purple (fragments 003–92) (bottom right) and a microscopic cross section through a pigment layer of one of the above-mentioned fragments (top right). Purple fragments correspond with Han Purple. Red particles are composed of vermilion. Horizontal extent: 22 mm. Under the pigment layer, there is a layer of varnish and farther below there is clay.

size and the immobility of the objects often make it impossible to examine them with those tools.

The most significant progress in the area of archaeometry in the last few years has been made in Raman spectroscopy, with an enormous increase in the sensitivity of the technology.²⁹ Raman spectroscopy is the most appropriate technique to identify blue pigments.³⁰ All copper silicate pigments and Ultramarine Blue can easily be identified by a characteristic ''fingerprint pattern'' in the spectrum, which makes it possible

to perform a phase analysis (see spectra in Fig. 18 as examples of Egyptian Blue from the Bust of Queen Nefertete and the fresco in Müstair (Switzerland)).

Examples of the identification of the Chinese pigments are the Raman spectra of Han Blue and Purple and Ultramarine Blue found from various ornamental objects (Fig. 19) as well as those of Han Purple found from samples of the Terracotta Army of the Qin period and found on the tomb of Bin Wang of the Eastern Han period (Fig. 20).

Fig. 18 Raman spectrum of virtually pure Egyptian Blue from the crown of the Bust of Nefertete (a) and from a sample of the fresco in the church of the Monastery of Müstair, Switzerland (b) in the range of 200–1400 cm⁻¹ (514 nm). Spectrum (b) also contains bands for SO_4^2 ⁻ (presumably gypsum) and for CO_3^2 ⁻ (dolomite). A band at 1118 cm⁻¹ could not yet be assigned.

Fig. 19 Raman spectra of bead 1 (a) of Han Blue and of bead 3 (b) and of Han Purple of the octagonal stick (c) in the range 1200– 200 cm^{-1} .²⁶ Excitation laser 514 nm.

5. The dissemination of the blue pigments and technology transfer

The various blue pigments that humans have produced give rise to thoughts about whether these developments have taken place independently from one another or whether knowledge

Fig. 20 Raman spectra of Han Purple from a sample of the pigment layer of the Terracotta Army in Xian, China (a), and from a sample of the pigment layer of the frescoes from the tomb of Bin Wang (b). Spectral range $1400-400$ cm⁻¹ (514 nm).

and technology transfer have furthered or even made possible some of the achievements. With regard to Maya Blue, there are no indications that e.g. far eastern expertise on indigo processing may have influenced the Indian developments. In the case of Egyptian Blue and the pigments, however, it may not only be conjectured how and when the production of the Chinese pigments may have started, but also whether their chemical similarity and the significantly earlier production of Egyptian Blue give enough indications about whether the Chinese pigments were produced on the basis of Egyptian Blue.

The dissemination of knowledge on Egyptian Blue might have sparked the Chinese developments by means of an ancient ''technology transfer''. The distribution of Egyptian Blue into the east has, through findings in that area, been verified as far as to the regions of present-day Persia (Fig. 21).

However, it is unclear whether the knowledge about Egyptian Blue really reached Central Asia; further archaeological findings and archaeometric studies will be necessary to answer this question. A geographical overlap of the distribution of the production of Egyptian Blue with the Chinese

Fig. 21 Atlas showing the approximate ancient distribution of Egyptian Blue (blue) and Han Blue and Purple (pink). Red lines indicate the many ways of the silk roads, along which not only trading occurred but also exchange of ideas.

locations for the barium copper silicate pigments would support, from a chemical point of view, assertion of an ancient technology transfer between the western and eastern worlds; according to current knowledge, however, it cannot conclusively be affirmed.

Therefore, the possibility of a technology transfer seems, from the present-day view, not very likely. It is clear that early inventions, such as the invention of the copper silicate

pigments, could not have been sudden discoveries, but requested preceding evolutionary developments. On the basis of an evolutionary process, it can feasibly be demonstrated that the Chinese developments as of approx. 1100 BC were taking place independently from the knowledge about Egyptian Blue. Though, the two historical developments were in many ways taking place similarly. Fig. 22 shows the two independent developments of Egyptian and Han Blue in a

Fig. 22 Flowchart showing the development of alkaline earth copper silicate pigments: Egyptian Blue and Han Blue and Purple.

flowchart. In both the eastern (China) and the western (Mediterranean area, Egypt, Mesopotamia, Persia) hemispheres, the techniques of glazing stone and clay objects were the first step of the developments. The western hemisphere started out earlier, at first with glazes rich in alkali metal, and later with glazes containing elevated calcium contents. Based on these glazing techniques for the blue colour, the faience and frit techniques were developed and Egyptian Blue emerged and was used in crystalline form.³¹ In the eastern hemisphere, the glazing techniques were introduced much later, probably even on the basis of transmissions from the west (Fig. 22). As of 1100 BC, however, heavy metal glazes (Pb, Ba) were developed in the east, which eventually led to the creation of early heavy metal glasses and to the production of barium copper silicate pigments.32,33 With regard to the copper silicate pigments, it is probable that they were produced independently from one another as parallel developments in the eastern and western hemispheres.

6. Summary of historical considerations

As mentioned previously, the blue pigments were invented from necessity. Humans did not have unlimited access to blue as a pigment, as blue is not an earth colour. About 5500 years ago, with the development of important civilisations, the use of minerals and their chemical transformation probably started. The first synthetic pigment was Egyptian Blue, which the Egyptians, along with the knowledge about its production, transmitted to many cultures in the Mediterranean area and beyond. The Romans were the last to produce Egyptian Blue industrially in factories. With the downfall of the Roman Empire, Egyptian Blue was not passed on any longer and the use of the pigment came to an end.

Today, it is assumed that the pigments Han Blue and Purple were used at least since 800 BC probably mostly locally in the smaller north-western Chinese areas. Those are the mineralrich areas with large copper, barium and lead deposits. As examinations have shown so far, at first Han Blue was used in preference for ornaments, whereas, as of approx. 400 BC, Han Purple replaced it as the preferred pigment. The Terracotta Army of the first Emperor of China, Qin Shihuang, stemming from approx. 220 BC, was painted largely with Han Purple.

It is assumed today that the use of Han Blue and Purple ended in the Han period (220 AD) at the same time as the Chinese Empire was again split; it is probable that, as was the case with Egyptian Blue, political changes stopped the dissemination of the Chinese pigments. Yet, the investigation of the Chinese pigments is far from finished. It is most probable that valuable archaeometric findings will follow and, just as archaeology uncovers more artefacts, shed new light on ancient times, probably above all on the time between 800 BC and 200 AD

In this context, the question arises about the production and dissemination of synthetic Ultramarine Blue produced in ancient times. It is possible that Ultramarine Blue was not only produced in the geographical area of ancient China, but also in other empires such as ancient Mesopotamia, where natural lapis lazuli was frequently used and where there was a high potential for chemical activity. The introduction of

Egyptian Blue might have helped to overcome the deficiency of blue pigments and might have stopped any further activities toward the creation of blue pigments. Time will tell if Ultramarine Blue still occurs in archaeological findings of that area.

The historical findings regarding Maya Blue have so far also been too scarce for the scientists to reach definite conclusions. Neither the earliest occurrence of the pigment, nor the time spans in which it was used or disseminated have been confirmed.

The copper silicate pigments have also played a role in recent history. Table 3 provides information on the most important data of the last two centuries, starting with the rediscovery of Egyptian Blue through Napoleon's scientific squad on the Egyptian Expedition.

The actual scientific investigations did not start until 1809. In 1874, the first synthesis of Egyptian Blue was made and in 1889 Egyptian Blue was defined as an independent compound. The structural determination of Egyptian Blue in 1959 by Pabst is another notable achievement. Han Blue and Purple were, for the first time, recognised as ancient synthetic pigments in the year 1983, when E. FitzHugh and staff members identified Han Blue and Purple in original samples. The ''re-invention'' of Han Blue and Purple by Le Chatelier in 1900, which was independent from the ancient Chinese knowledge, was another remarkable milestone. In 1900, Le Chatelier filed an application for a patent based on Egyptian Blue with the Patent Office in Berlin.

Le Chatelier unknowingly repeated history with his plan to make new blue and purple pigments available. Necessity is the mother of invention, even though the necessity in this case was not vital but driven rather by a ''gap in the market''. As a further milestone in the modern development of Han Blue, Le Chatelier's plan was, in 1996, behind a purely commercial background, improved and optimised with modern methods by the company Rhone Poulenc, which then filed a patent application for its new achievements. The latest cognition regarding the Chinese pigments concerns Han Purple and is still being investigated. Han Purple may have special magnetic properties on an atomic level. The authors of an original publication³⁴ hope that the Cu₂ units described might, with their electron spins, be of use in virtual addressing in subnano-chips in the so-called ''spin computer'', which would lead to an enormous increase in the performance of computers. Hence, the properties of these ancient chemical compounds might continue to be useful for humanity and will further be accompanying human innovations.

7. Chemistry and opportunity

All the pigments described previously have one thing in common: they were all created by human inventive talent, which is apparently strongest when there are situations of deficiency, even if the invention does not necessarily serve vital needs. Colour is an intrinsic part of human life. The human being strives for expression and sensation through colour and thus needs a suitable material basis. Part of this material basis is the pigments, some of which humans created with chemical processes. Chemistry was used as an opportunity. Chemistry was not only an opportunity for the people in ancient times and at the age of industrialisation, but still is an opportunity for the people of today. Seizing opportunities with chemistry means to use silent force and reach copious abundance, according to the notable German chemist Justus von Liebig. In this matter, the ancient civilisations are our role models. Nowadays, however, chemistry is also responsible for its risks.

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